

# Advanced Crypto 2024

## Lattice-based cryptography

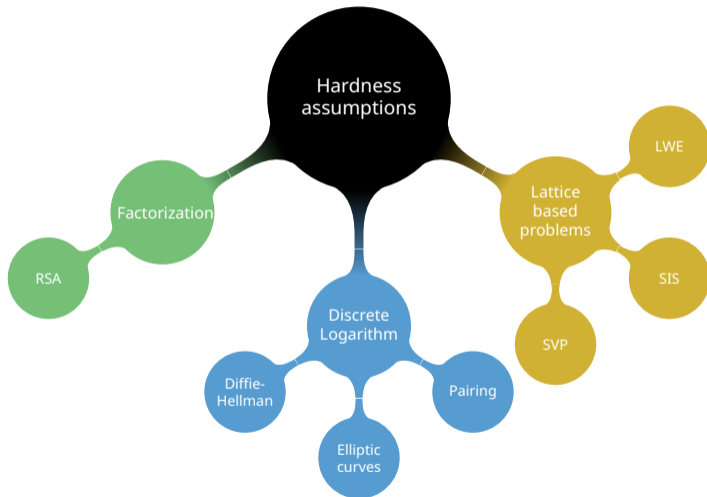
Léo COLISSON PALAIS

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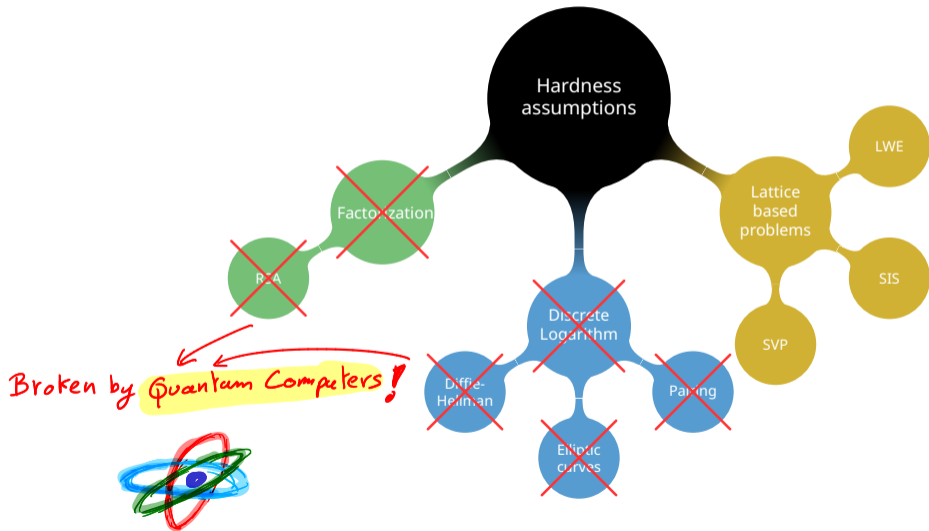
<https://leo.colisson.me/teaching.html>

# Motivations

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- Should we change technology **now or** can we **wait** until quantum computers arrive?



JARE-CLARK.TUMBLR

imgflip.com

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⇒ **Cannot wait!** “Harvest now, decrypt later”



JARE-CLARK.TUMBLR

imgflip.com

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JANE-CLARK.TUMBLR

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# Motivations



JANE-CLARK.TUMBLR

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(RSA/ECDSA/... are much more studied than most post-quantum alternatives)

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  - ⇒ Creation of a **standardization competition** by NIST!

# Motivations

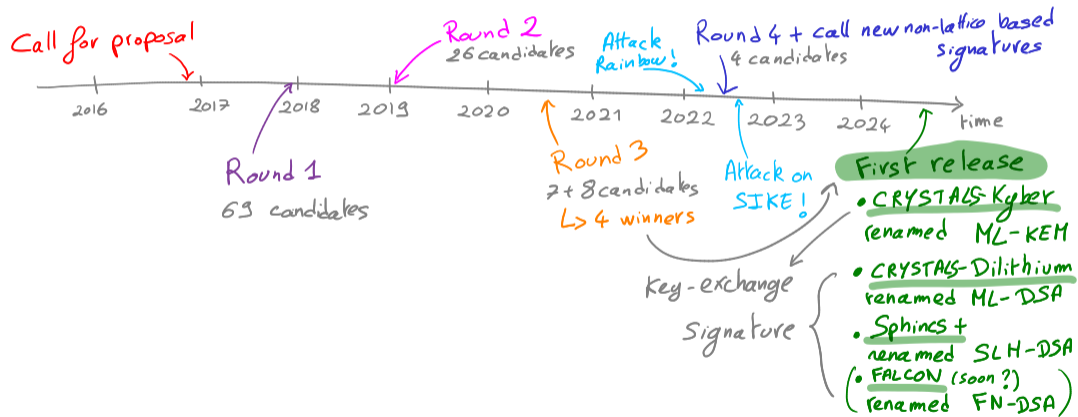


- Should we change technology **now or** can we **wait** until quantum computers arrive?
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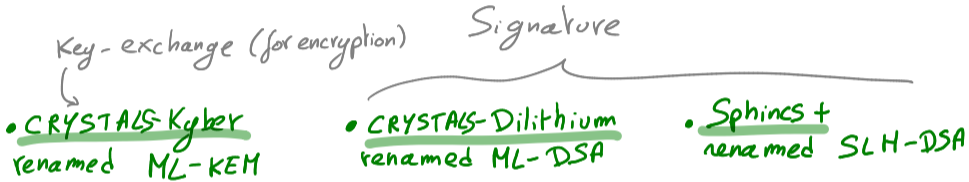
- ⇒ Creation of a **standardization competition** by NIST!
- ⇒ For now, safer to use it **on top** of non-post-quantum solutions!

# NIST post-quantum cryptography standardization



# NIST post-quantum cryptography standardization

## First release (2024)



Hardness Assumption  
In bytes, Level 3

	Lattice-based	Lattice-based	Hash-based
pk :	1184	1952	48
sk :	2400	4032	96
cipher :	1088		
shared key :	32		
signature :		3309	16224

Less efficient than ML-DSA  
⇒ in case ML-DSA is broken

# NIST post-quantum cryptography standardization

## First release (2024)

Key-exchange (for encryption)

• CRYSTALS Kyber  
renamed ML-KEM

Compare with ECDH with Curve 25519  
(Not post-quantum!)

Hardness Assumption  
In bytes, Level 3

Lattice-based ✓

Elliptic-curves X

pk :	1184	X
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cipher :	1088	X
shared key :	32	X

32	✓
32	✓
64 (2x 32)	✓
32	✓

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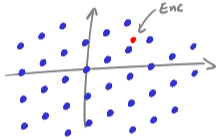
Elliptic-curves X

32	✓
32	✓
64 (2x 32)	✓
32	✓

Post-quantum is less efficient  
(but hopefully more secure)

# Famous post-quantum candidates

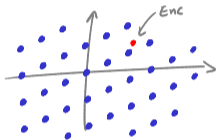
## ① Lattice-based Crypto



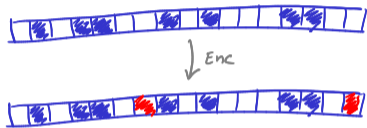


# Famous post-quantum candidates

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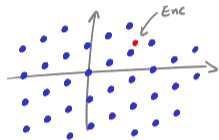


## ② Code-based Crypto

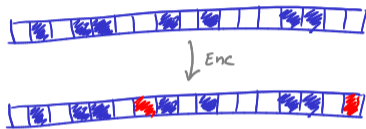


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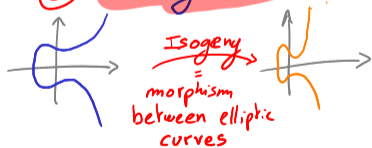
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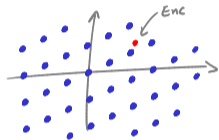


## ③ Isogenies

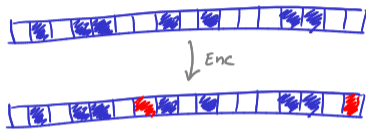


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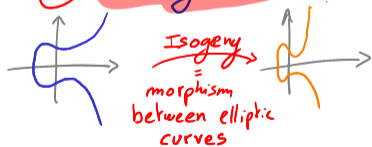
## ① Lattice-based Crypto



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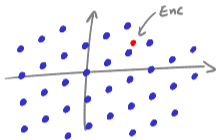


## ④ Multivariate Crypto

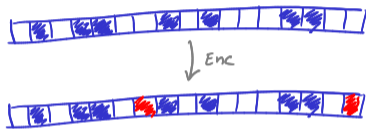
$$P_k := \begin{cases} \bullet 1 + x_1 + 2x_0x_3 \\ \bullet 4 + x_4 + 3x_1^2x_8 + x_9 \\ \bullet x_6 + x_2^3x_5 + x_7x_5 \end{cases} \xrightarrow{\text{Enc}} P_k(m)$$

# Famous post-quantum candidates

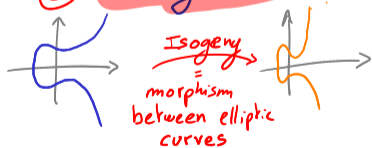
## ① Lattice-based Crypto



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## ⑤ + Symmetric crypto (incl. signatures)

# Famous post-quantum candidates

## ① Lattice-based Crypto

- ✓ • studied extensively
- ✓ • efficient
- ✓ • simple
- ✓ • versatile (FHE...)
- ✓ • hard also on average !

## ③ Isogenies

- x • SIDH broken  $\Rightarrow$  lost confidence
- x • complicated

## ② Code-based Crypto

- ✓ • simple
- x • no worst case  $\rightarrow$  average case reduction
- x • FHE impossible

## ④ Multivariate Crypto

- x • many candidates were broken  $\Rightarrow$  lost confidence

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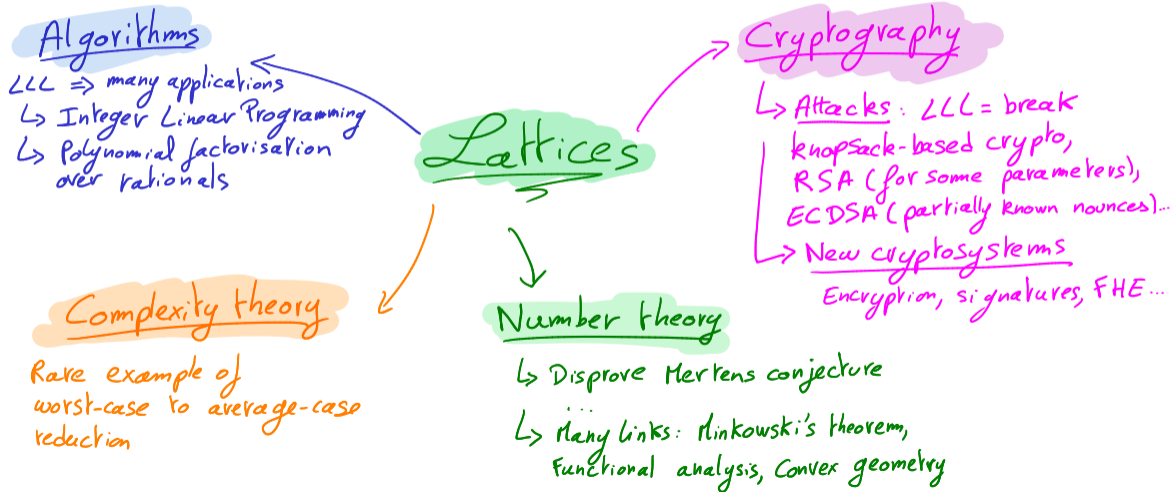
# Introduction to lattices

# References

- Great survey: *A Decade of Lattice Cryptography*, Chris Peikert
- Course  
<https://people.csail.mit.edu/vinodv/COURSES/CSC2414-F11/>
- Course  
<https://www.di.ens.fr/brice.minaud/cours/2019/MPRI-3.pdf>
- Course <https://www.di.ens.fr/~pnguyen/SLIDES/SlidesLuminy2010.pdf>
- Course <https://www.youtube.com/watch?v=XEMeIBcwSKc>



# Lattices: applications beyond cryptography

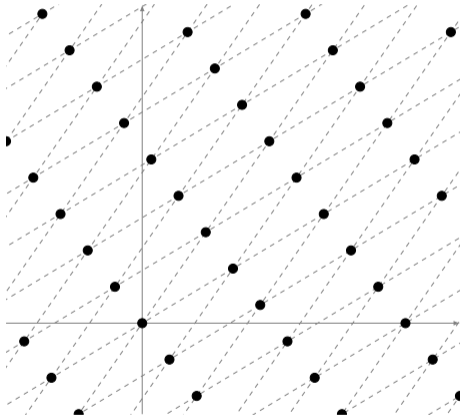


## Definition (Lattice)

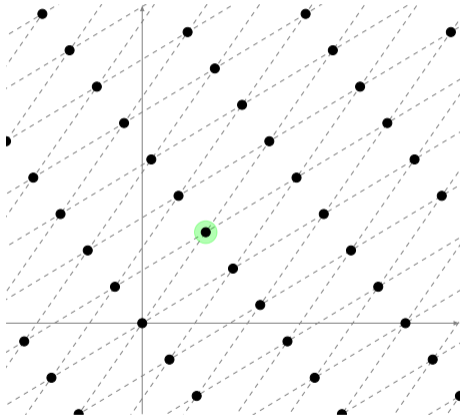
An  $n$ -dimensional *lattice*  $\mathcal{L}$  is any subset of  $\mathbb{R}^n$  that is both:

- an **additive subgroup**:  
 $0 \in \mathcal{L}, \forall x, y \in \mathcal{L}, -x \in \mathcal{L}$  and  $x + y \in \mathcal{L}$
- **discrete**:  
every  $x \in \mathcal{L}$  has a neighbourhood in  $\mathbb{R}^n$  in which  $x$  is the only lattice point

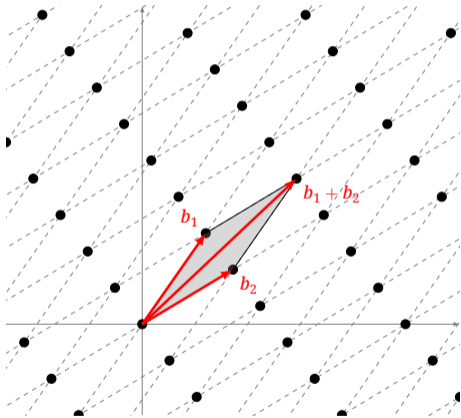
# Lattice



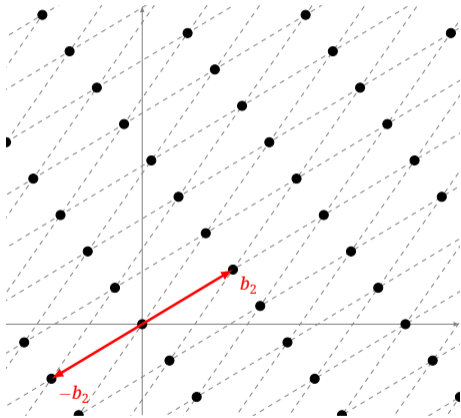
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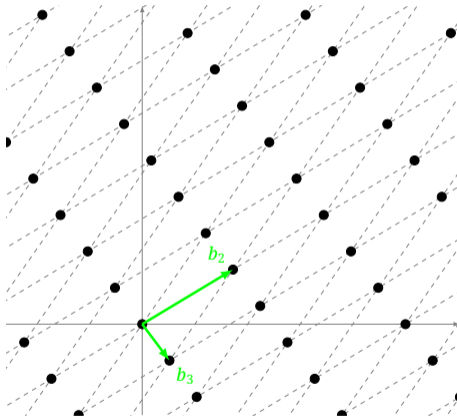
# Lattice



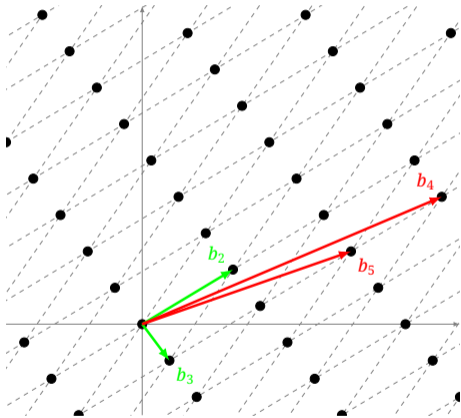
# Lattice



# Lattice



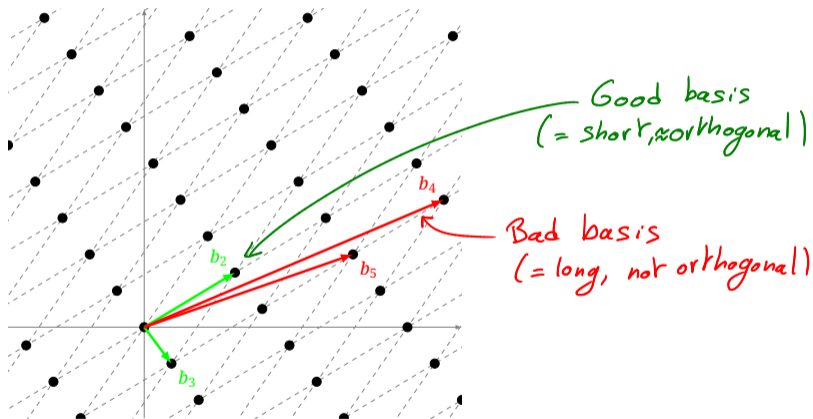
# Lattice



Basis = not unique!



# Lattice



## Definition (Basis)

If  $\mathcal{L}$  is a lattice, then it admits a basis  $\mathbf{B} = [\mathbf{b}_1 \ \dots \ \mathbf{b}_k] \in \mathbb{R}^{n \times k}$  such that

$$\mathcal{L} = \mathcal{L}(\mathbf{B}) := \mathbf{B} \cdot \mathbb{Z}^k = \left\{ \sum_{i=1}^k z_i \mathbf{b}_i \right\}$$

$k$  is the **rank** of the lattice. If  $k = n$ , the lattice has **full-rank** (often the case).

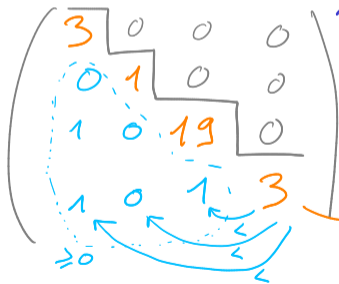
The basis is **not unique**: for any invertible matrix  $\mathbf{U} \in \mathbb{Z}^{k \times k}$  s.t.  $\mathbf{U}^{-1} \in \mathbb{Z}^{k \times k}$ ,  $\mathbf{B} \cdot \mathbf{U}$  is also a basis of  $\mathcal{L}(\mathbf{B})$ .

# Lattice: basis

So **which basis** to choose?

⇒ **Hermite normal form** can always be efficiently be computed and is unique: Good reference basis.

Form:  
Z



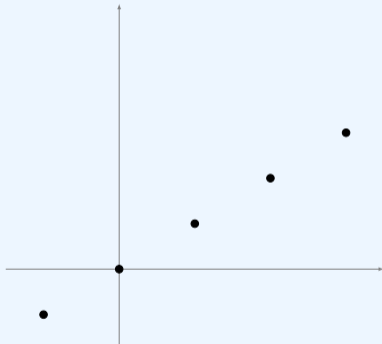
• lower triangular  
+ columns of 0 to the right:  
 $\begin{pmatrix} \dots & 0 & 0 \\ & \ddots & \\ & & 0 \end{pmatrix}$

= right-most  $\neq 0$  coef  
pivots follow a "staircase" pattern  
(never aligned, possibly not on the diagonal)

# Lattice: basis

What is the dimension and rank of this lattice?

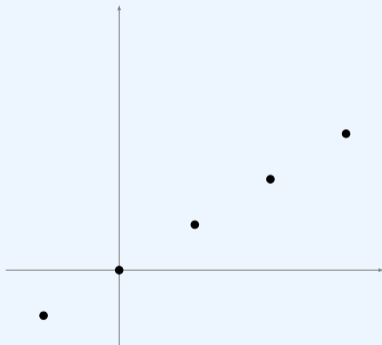
?



# Lattice: basis

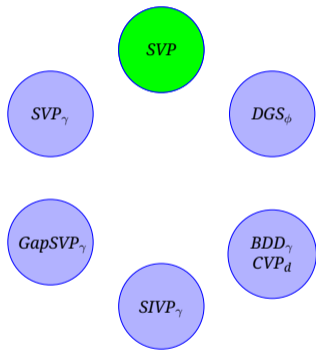
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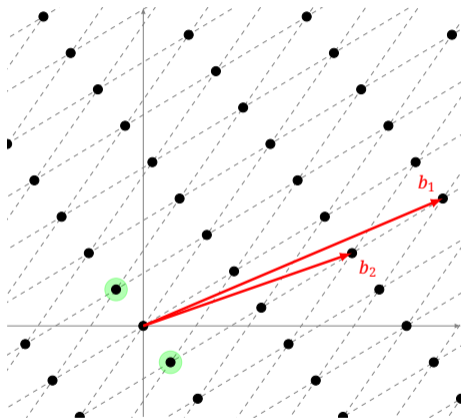
⇒ Dimension is 2, rank is 1

# Lattice : what is hard to do?



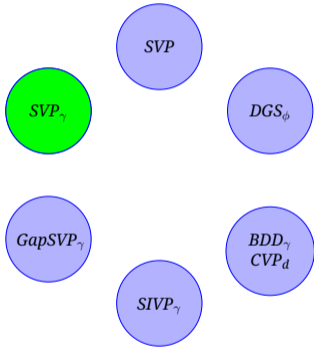
Shortest Vector Problem

Goal: Given a basis  $B$  of a lattice  $\mathcal{L}$ , find a vector  $x \in \mathcal{L} \setminus \{0\}$  with the smallest norm  $\lambda_1(\mathcal{L})$ .

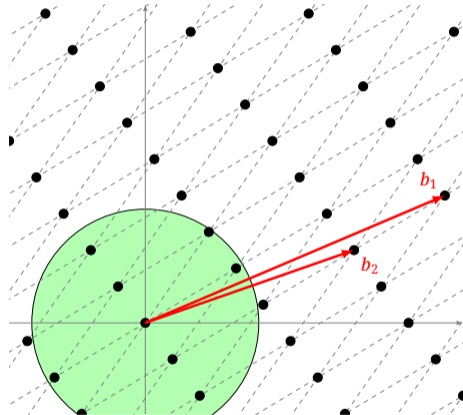


Compute basis  $B$   
with the smallest  
length for each vector  
 $\lambda_i =$  length of  
 $i$ -th largest  
vector in  $B$

# Lattice : what is hard to do?



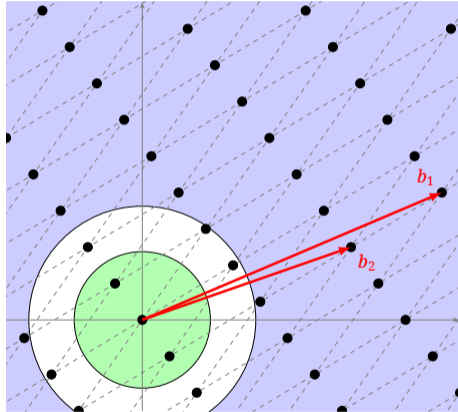
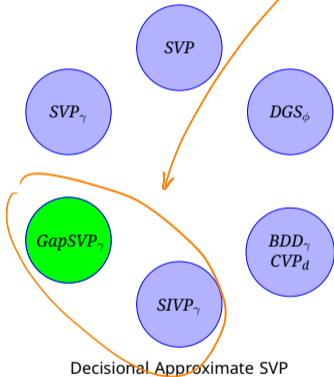
Approximate Shortest Vector Problem



Goal: Given a basis  $B$  of a lattice  $\mathcal{L}$ , find a vector  $x \in \mathcal{L} \setminus \{0\}$  s.t.  $\|x\| \leq \gamma(n)\lambda_1(\mathcal{L})$ .

# Lattice : what is hard to do?

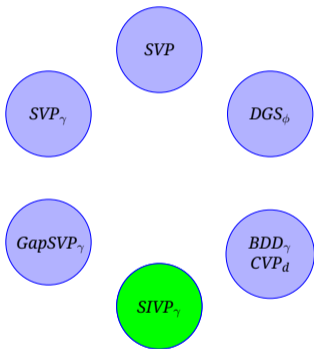
Hard to reduce to  $SVP/SVP_\gamma$  : most reductions reduce to  $GapSVP$  or  $SIVP$



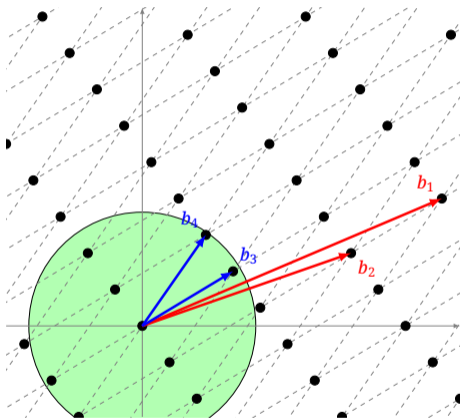
Goal: Given a basis  $B$  of a lattice  $\mathcal{L}$ , with the promise that  $\lambda_1(\mathcal{L}) \leq 1$  or  $\lambda_1(\mathcal{L}) > \gamma(n)$ , determine which is the case.



# Lattice : what is hard to do?

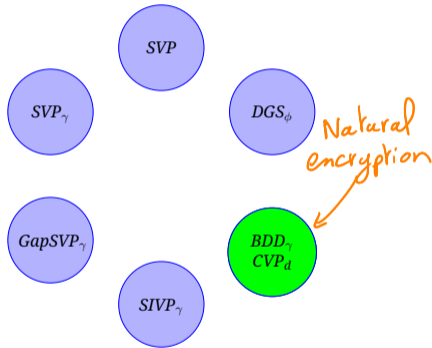


Approximate Shortests  
Independent Vectors Problem

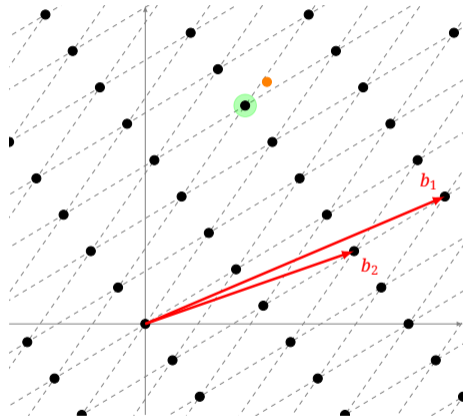


Goal: Given a basis  $B$  of a full-rank lattice  $\mathcal{L}$ , output a set  $\{s_i\} \subset \mathcal{L}$  of  $n$  linearly independent lattice vectors where  $\forall i, \|s_i\| \leq \gamma(n) \cdot \lambda_n(\mathcal{L})$ .

# Lattice : what is hard to do?



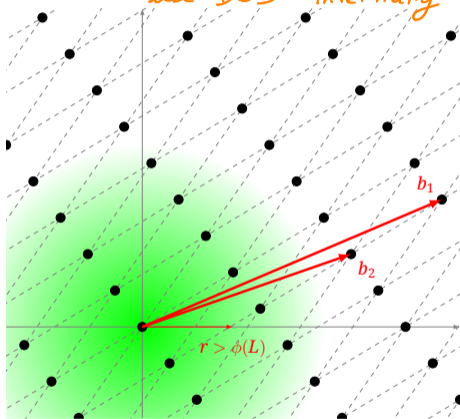
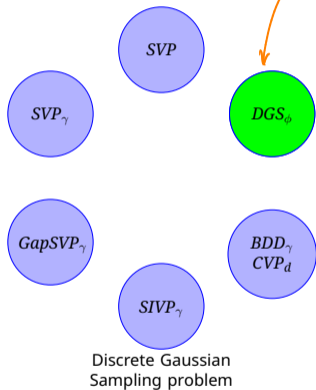
Bounded Distance Decoding Problem  
and Closest Vector Problem



Goal: Given a basis  $B$  of a lattice  $\mathcal{L}$  and a target  $t \in \mathbb{R}^n$  s.t.  $\text{dist}(t, \mathcal{L}) < d := \lambda_1(\mathcal{L})/(2\gamma(n))$ , find the unique  $v$  s.t.  $\|t - v\| < d$ .

# Lattice : what is hard to do?

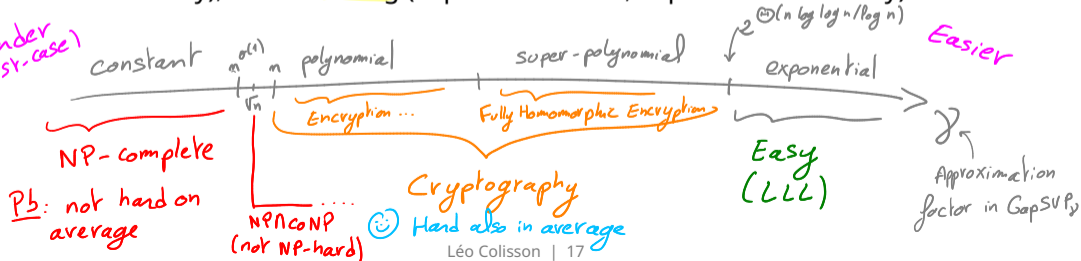
Often used in proofs (e.g. LWE as hard as  $\text{GapSVP}_\gamma$ )  
use DGS internally



# Lattice: Why is it hard

- Simple in dimension 2, **hard bigger dimensions**
- **Best known algorithm** (quantum and classical):
  - Typically Lenstra–Lenstra–Lovász (LLL): poly-time, but bad approximation factor (nearly exponential).
  - For smaller factors, Block Korkine-Zolotarev (BKZ) is often used, but runs in exponential time.
  - For exact versions (SVP): lattice **enumeration** (super-exponential time, poly memory), lattice **sieving** (exponential time, exponential memory)...

Harder (worst-case)



# Lattice: Why is it hard

Want to try yourself? Play [https://inriamecsci.github.io/cryptris/!](https://inriamecsci.github.io/cryptris/)



# CRYPTRIS

---

CRÉATION DES CLÉS

FACILE - 8 BLOCS

▶ NOVICE - 10 BLOCS ◀

APPRENTI - 12 BLOCS

CHERCHEUR - 14 BLOCS

EXPERT - 16 BLOCS

---

JOUEUR :  CLÉ PRIVÉE

ADVERSAIRE :  CLÉ PUBLIQUE

---

Léo

LOGICIEL ESPION

# CRYPTRIS

00:00:00



10 5 -18 8 19 6 -51 -27 8 38

Y

0

...

10 5 -18 8 19 6 -51 -27 8 38

Y

0

...

Léo

LOGICIEL ESPION

# CRYPTRIS

00:01:13

Message décrypté.

Échec



-1 -1 0 0 -1 1 0 0 0 0

7

4

...

-9 18 5 -25 -41 2 32 14 -4 0

\*

|

...



# This course

Two goals:

- How to use lattice as a **cryptanalysis tool**
- How to use lattice to build **new cryptographic schemes**

Harder  
(worst-case)

constant

$n^{o(1)}$

polynomial

super-polynomial

$2^{\Theta(n \log \log n / \log n)}$   
exponential

Easier

Encryption ...

Fully Homomorphic Encryption

Cryptography

Easy  
(LLL)

Approximation  
factor in GapSVP<sub>p</sub>

NP-complete

Pb: not hard on  
average

NP/CoNP  
(not NP-hard)

😊 Hard also in average

# This course

Let's start here! 😊

Two goals:

- How to use lattice as a **cryptanalysis tool**
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Harder (worst-case)

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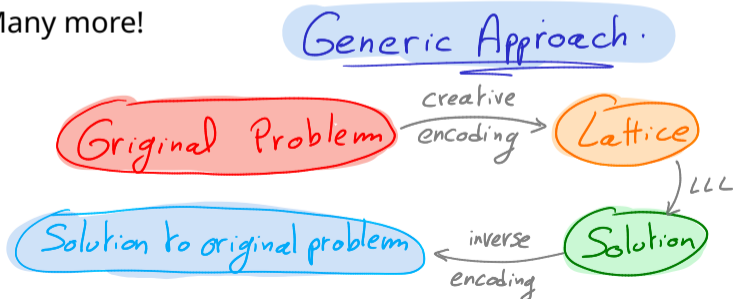
😊 Hard also in average

# Cryptanalysis based on lattice

# Lattice-based cryptanalysis: targets

Many possible targets:

- Knapsack-based crypto-systems
- RSA (e.g. for some parameters or if high bits are known, see for instance *Survey: Lattice Reduction Attacks on RSA*, Wong)
- Elliptic curves (if nonces has leading zeros)
- Many more!



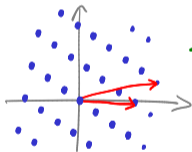
LLL

Super famous : 6 256 citations, implemented in Sage, Maple.....

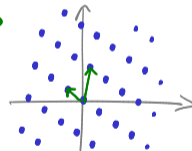
LLL

Super famous : 6 256 citations, implemented in Sage, Maple.....

Bad basis



Better (= smaller) basis  
orthogonal



# LLL



Generic idea:

analogue of Euclid's algorithm to compute GCD

- integers  $\rightarrow$  vectors of integers
- similar operations,  $\approx$  as efficient

Reminder Euclid's algo (gcd, high-school level) shorten

$$\begin{aligned} \text{gcd}(100, 42) &= \text{gcd}(42, 100) = \text{gcd}(42, 100 - \lfloor \frac{100}{42} \rfloor \times 42) \\ &\stackrel{\text{simplify}}{=} \text{gcd}(42, 16) = \text{gcd}(16, 42) = \text{gcd}(16, 42 - \lfloor \frac{42}{16} \rfloor \times 16) = \dots \end{aligned}$$

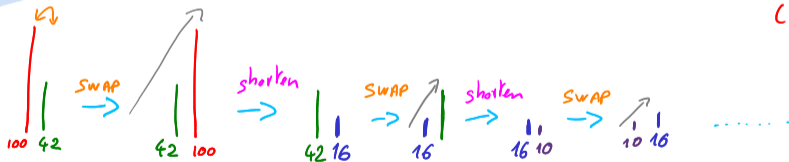
SWAP: we want ordered list:  $42 < 100$ .

$\lfloor 2.38 \rfloor^2$   
 $= 100 - 2 \times 42 = 16$

$\lfloor \frac{42}{16} \rfloor = 2$   
 $\underbrace{10}$

repeat until one is "small enough" ( $= 0$ )

In picture:



⇒ only 2 operations: SWAP and shorten until small enough





# LLL

LLL algo

(param  $\frac{1}{3} < \delta < 1$ , e.g.  $\frac{3}{4}$ : time/quality trade-off)

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$\leadsto$  Gram Schmidt

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"Size-reduce":  $\forall i, j: |\mu_{ij}| \leq \frac{1}{2}$

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SWAP and shorten until small enough

if  ~~$a \times b$~~   $\leadsto$  Lovasz Condition:  
 $\langle b_k^*, b_k^* \rangle > (\delta - \mu_{k, k-1}^c) \langle b_{k-1}^*, b_{k-1}^* \rangle$

~~$b = \lfloor \frac{b}{\lfloor a \rfloor} \rfloor a$~~   $\leadsto$  Gram Schmidt

"Size-reduce":  $\forall i, j: |p_{ij}| \leq \frac{1}{2}$

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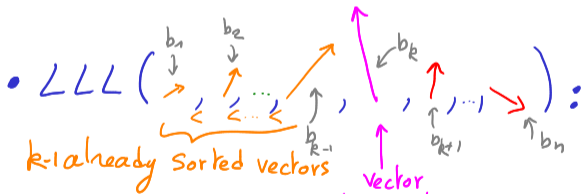
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Step 1: shorten 1<sup>st</sup> non sorted vector

For  $j = k-1$  to 1:  
 $|b_k \leftarrow b_k - \lfloor \mu_{kj} \rfloor b_j$

③ ② ①

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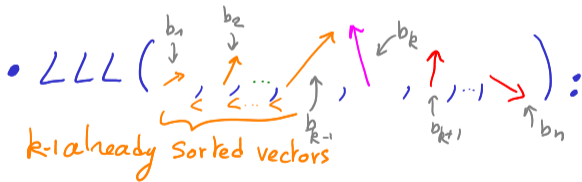
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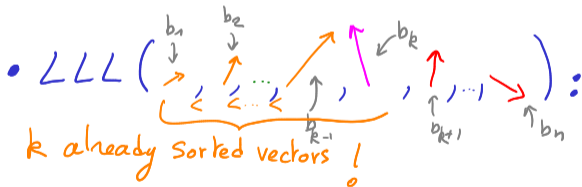
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Step 1: Shorten 1<sup>st</sup> non sorted vector

Step 2: If well sorted (Lovas' condition), go to next vector  $k+1$ , else swap

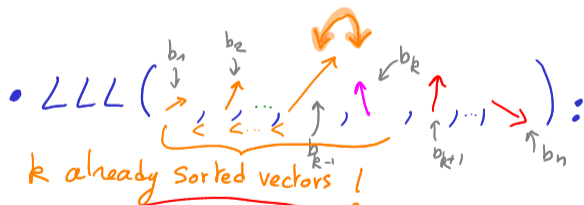
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 $\vec{b}_i = \vec{b}_i - \sum_{j=1}^{i-1} M_{ij} \vec{b}_j^*$   
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 "Sized-reduce":  $\forall i, j: |M_{ij}| \leq \frac{1}{2}$



Step 1: Shorten 1<sup>st</sup> non sorted vector

Step 2: If well sorted (Lovas' condition), go to next vector  $k+1$ , else swap + restart

STOP when sorted + small enough (sized-reduce)

For  $j = k-1$  to 1:  
 $|b_k \leftarrow b_k - L_{kj} b_j$



Summary

**INPUT**

a lattice basis  $\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n$  in  $\mathbb{Z}^m$   
 a parameter  $\delta$  with  $1/4 < \delta < 1$ , most commonly  $\delta = 3/4$

**PROCEDURE**

```

B* ← GramSchmidt( $\{\mathbf{b}_1, \dots, \mathbf{b}_n\}$ ) =  $\{\mathbf{b}_1^*, \dots, \mathbf{b}_n^*\}$ ; and do not normalize
 $\mu_{i,j} \leftarrow \text{InnerProduct}(\mathbf{b}_i, \mathbf{b}_j^*) / \text{InnerProduct}(\mathbf{b}_j^*, \mathbf{b}_j^*)$ ; using the most current values of  $\mathbf{b}_i$ 
and  $\mathbf{b}_j^*$ 
 $k \leftarrow 2$ ;
while  $k \leq n$  do
  for  $j$  from  $k-1$  to 1 do
    if  $|\mu_{k,j}| > 1/2$  then
       $\mathbf{b}_k \leftarrow \mathbf{b}_k - \lfloor \mu_{k,j} \rfloor \mathbf{b}_j$ ;
      Update B* and the related  $\mu_{i,j}$ 's as needed.
      (The naive method is to recompute B* whenever  $\mathbf{b}_i$  changes:
       $\mathbf{B}^* \leftarrow \text{GramSchmidt}(\{\mathbf{b}_1, \dots, \mathbf{b}_n\}) = \{\mathbf{b}_1^*, \dots, \mathbf{b}_n^*\}$ )
    end if
  end for
  if  $\text{InnerProduct}(\mathbf{b}_k^*, \mathbf{b}_k^*) > (\delta - \mu_{k,k-1}^2) \text{InnerProduct}(\mathbf{b}_{k-1}^*, \mathbf{b}_{k-1}^*)$  then
     $k \leftarrow k + 1$ ;
  else
    Swap  $\mathbf{b}_k$  and  $\mathbf{b}_{k-1}$ ;
    Update B* and the related  $\mu_{i,j}$ 's as needed.
     $k \leftarrow \max(k-1, 2)$ ;
  end if
end while
return B the LLL reduced basis of  $\{\mathbf{b}_1, \dots, \mathbf{b}_n\}$ 

```

**OUTPUT**

the reduced basis  $\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n$  in  $\mathbb{Z}^m$

## Theorem (LLL)

After running  $\delta$ -LLL on a lattice  $\mathcal{L}$  with basis  $\mathbf{b}_1, \dots, \mathbf{b}_n$ :

- 1 The first vector in the basis cannot be much larger than the shortest non-zero vector:  $\|\mathbf{b}_1\| \leq (2/(\sqrt{4\delta - 1}))^{n-1} \cdot \lambda_1(\mathcal{L})$
- 2 The first vector in the basis is also bounded by the determinant of the lattice:  $\|\mathbf{b}_1\| \leq (2/(\sqrt{4\delta - 1}))^{(n-1)/2} \cdot (\det(\mathcal{L}))^{1/n}$
- 3 The product of the norms of the vectors in the basis cannot be much larger than the determinant of the lattice: let  $\delta = 3/4$ , then  $\prod_{i=1}^n \|\mathbf{b}_i\| \leq 2^{n(n-1)/4} \cdot \det(\mathcal{L})$

In practice, it works often **even better!**

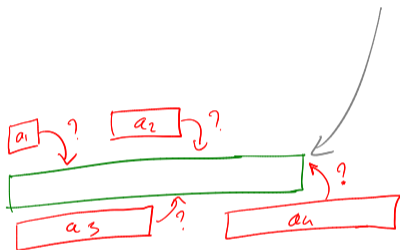
# Application: breaking the Merkle-Hellman cryptosystem

## Merkle-Hellman:

- cryptosystem published in 1978
- (simpler) competitor of RSA
- broken by Shamir in 1982:
  - ⇒ starting point of many LLL-based attacks

# Merkle-Hellman

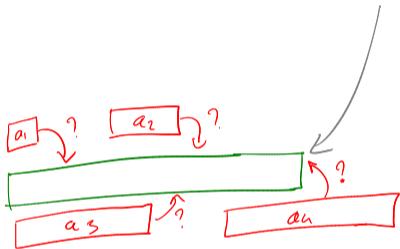
Based on knapsack problem + trapdoor



Goal: find subset of  $a_i$ 's  
filling the bag  
↳ NP-Hard (worst-case)

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Based on knapsack problem + trapdoor



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 $\hookrightarrow$  NP-Hard (worst-case)

## Key generation:

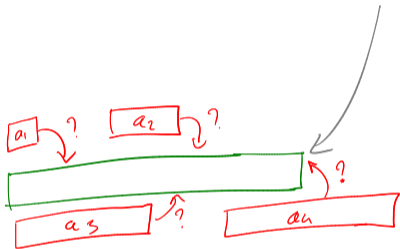
- super-increasing sequence  $\{a_1, \dots, a_n\}$   
(i.e.  $\forall i, a_i > \sum_{j < i} a_j$ )
- Let  $N > \sum_i a_i$  and  $A < N$ ,  $\gcd(A, N) = 1$
- Public key:  $pk := \{b_i := Aa_i \pmod{N}\}$ ,  
private key:  $sk := (N, A, \{a_i\}_i)$

**Encryption:**  $\leftarrow$  message = subset of elements to take  
 $Enc_{pk}(m := (m_1, \dots, m_n)) = \sum_i m_i b_i$

**Decryption:** (not relevant, but based on  
 $A^{-1}(\sum_i m_i b_i) \pmod{N} = \sum_i x_i a_i$ ) + use fact that  
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If  $pk := [10, 3, 16, 15]$ , what is  $Enc_{pk}(1101)$ ?

- A 16
- B 28
- C 44



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**A** 16

**B** 28 ✓ =  $1 \times 10 + 1 \times 3 + 0 \times 16 + 1 \times 15$

**C** 44

# Merkle-Hellman attack

To decrypt a ciphertext  $c = \sum_i m_i b_i$ , we want to find a lattice  $\mathcal{L}$  such that:

- The solution can be encoded into a vector  $v \in \mathcal{L}$
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## Problems:

- not a basis (vectors are not independent)
- since  $v$  is null, this gives no information about  $m_i$ 's

How to fix that?

# Merkle-Hellman attack

Let  $B := \begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & \ddots & & \\ & & & 1 & \\ b_1 & b_2 & \cdots & b_n & -c \end{pmatrix}$  (all unspecified entries are 0).



Show that  $\mathcal{L}(B)$  admits a non-null vector  $v$  of norm  $\leq \sqrt{n}$ , and show how to recover  $m$  from  $v$ .

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# Merkle-Hellman attack

Attack against Merkle-Hellman:

- 1 **run LLL on B** (from previous slide)
- 2 We get a list of small vectors  $v$ : if one has only binary entries and ends with a 0, extract  $m$  and check if solution! (demo next slide)

# Merkle-Hellman attack: demo in sagemath

```
knapsack_attack.ipynb x +
+ X [ ] Code Not

[6]: from sage.misc.prandom import randrange
def gen_knapsack(n, random_range=n):
    ais = []
    s = 0
    for i in range(n):
        last_ai = s + randrange(n) + 1
        ais.append(last_ai)
        s += last_ai
    N = s + randrange(n)
    A = randrange(N)
    while gcd(A, N) != 1:
        A = randrange(N)
    bis = [ (A * a) % N for a in ais ]
    # For attack, we don't care about the private key, we only return the public key
    return bis

def enc(bis, m):
    return sum([bi * mi for (bi, mi) in zip(bis, m) ])

[11]: pk = gen_knapsack(4)
pk

[11]: [10, 3, 16, 15]

[12]: enc(pk, [1, 1, 0, 1])

[12]: 28

•[18]: B = Matrix(ZZ, [
    [1,0,0,0,0],
    [0,1,0,0,0],
    [0,0,1,0,0],
    [0,0,0,1,0],
    [10, 3, 16, 15, -28]
])
B.transpose().LLL().transpose() # Sage's LLL considers rows instead of columns, hence the transposes to turn them into columns

[18]: [ 0 1 0 -1 2]
[ 0 1 -1 0 -1]
[-1 0 1 -1 -1]
[ 1 1 1 0 0]
[-1 0 0 2 1]
```



# Cryptanalysis via LLL: conclusion

Take home message:

**LLL reductions = very powerful tool to attack cryptosystems (and more!)**